# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

#### **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



(51) International Patent Classification 5:		(11) International Publication Number: WO 91/1228:	
C08F 297/08, 4/64	A1	(43) International Publication Date: 22 August 1991 (22.08.91	
(21) International Application Number: PCT/US (22) International Filing Date: 7 February 1991 (30) Priority data: 9 February 1990 (09.02.9) (60) Parent Application or Grant (63) Related by Continuation US Filed on 9 February 1990 (71) Applicant (for all designated States except US): CHEMICAL PATENTS INC. [US/US]; 1990	(07.02.9 0) 1 791 (C1 (09.02.9	<ul> <li>(75) Inventors/Applicants (for US only): TURNER, Howard William [US/US]; 303 Elder Glen, Webster, TX 7759 (US). HLATKY, Gregory, George [US/US]; 1114 Indian Autumn, Houston, TX 77062 (US).</li> <li>(74) Agents: KURTZMAN, Myron, B. et al.; Exxon Chemica Company, P.O. Box 5200, Baytown, TX 77522-520 (US).</li> <li>(81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent), FI, FR (European patent), GB (European patent), ES (European patent), FR (European patent), HI, IT (European patent), ES (European patent), GB (European patent), BR (Eur</li></ul>	
Linden Avenue, Linden, NJ 07036-0710 (US).  (54) Title: BLOCK COPOLYMERS FROM IONIC	CATA	NO, SE (European patent), SU, US.  Published  With international search report.	

A process for the production of block copolymers of ethylene and an  $\alpha$ -olefin such as propylene by using an ionic catalyst system including a metallocene component and a component having a cation capable of donating a proton and a compatible non-coordinating anion. Novel block copolymers are produced.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

TA	Austria	ES	Spain	MG	Madagascar
AU	Australia	Fi	Finland	ML.	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		of Korca	SN	Senegal
Ci.	Côte d'Ivoire	KR	Republic of Korea	รบ	Soviet Union
CM	Cameroon	LI	Liechtenstein	TD	Chad
CS.	Czechoslovakia	LK	Sri Lanka	TG	Togo
DE	Germany	LU	Luxembourg	us	United States of America
DK	Denmark	MC	Monaco		

- 1 -

#### BLOCK COPOLYMERS FROM IONIC CATALYSTS

#### **SPECIFICATION**

#### Cross-Reference to Related Applications

This application is a Continuation-in-Part of U.S. Patent 1 Application No. 477,791 filed February 9, 1990. U.S. Patent Application 2 No. 477,791 is in turn a Continuation-in-Part of copending U.S. Patent 3 4 Application Nos. 133,052 filed December 21, 1987 and 133,480 filed December 22, 1987. Copending U.S. Patent Application No. 133,052 is in 5 turn a Continuation-in-Part of U.S. Patent Application No. 011,471 filed 6 January 30, 1987. Copending U.S. Patent Application No. 133,480 is in 7 8 turn a Continuation-in-Part of U.S. Patent Application No. 008,800 filed 9 January 30, 1987.

#### FIELD OF THE INVENTION

This invention relates to a method for preparing block and 10 tapered copolymers by polymerizing ethylene and other olefins in the 11 presence of a catalyst which is a reaction product of a metallocene 12 component with second component which comprises a cation capable of 13 donating a proton and a compatible non-coordinating anion. More 14 particularly, this invention relates to a process for the production 15 of mutiblock and tapered copolymers of ethylenically unsaturated 16 monomers. The invention also related to the multiblock and tapered 17 copolymers produced. 18

#### BACKGROUND OF THE INVENTION

Block copolymers are well known. They have been used commercially as components in adhesives, as melt processable rubbers,

1 impact resistant thermoplastics, and as compatibilizers, or 2 "surfactants", for emulsifying polymer-polymer blends. 3 There has been widespread interest in polymer blends and alloys. Unfortunately, most homopolymer pairs are immiscible with one 4 another and give rise to low strength materials due to the lack of 5 interfacial adhesion between the separate phases. A block copolymer 6 is created when two or more polymeric segments, or blocks, of 7 different chemical composition are covalently bonded in an end-to-end 8 fashion. Block copolymers have certain advantages over blends. Firstly, the segments are covalently bonded to each other, thereby 10 eliminating the interface problem. Secondly, block copolymers can be 11 used to strengthen blends of immiscible polymers by serving as 12 "emulsifiers," which encourage physical connections between the phase, and thus improve the interfacial adhesion and load transferring 14 capability of the components. 15 While a wide variety of block copolymer architectures are 16 possible, most block copolymers of interest involve the covalent 17 bonding of hard plastic segments which are crystalline or glassy, to elastomeric blocks forming thermoplastic elastomers. Other block 20 copolymers, such as rubber-rubber, glass-glass, and glass-crystalline block copolymers are also possible and may have commercial 22 importance. Two common types of block copolymer structures are the diblock and triblock forms. However, multiblock copolymers, in which more than three segments are bonded together, are also desirable. 24 Triblock and multiblock copolymers have the unique ability of 25 26 behaving as thermoplastic elastomers, combining thermoplasticity with 27 rubber-like behavior. The key requirements for achieving 28 thermoplastic elastomeric behavior is the ability to develop a 29 two-phase physical network. Such a system is composed of a minor 30 fraction of hard block, having a glass transition temperature (Tg) or 31 melting temperature (Tm) above room temperature, and a major fraction 32 of a soft block, having a Tg below room temperature. The hard blocks 33 associate to form small morphological domains that serve as physical 34 crosslinking and reinforcement sites. These states are thermally 35 reversible making it possible to process the polymer as a melt at

1 temperatures above the Tg or Tm of the hard block. 2 Block copolymers are similar to, yet distinct from, tapered 3 polymers. In a tapered copolymer the composition of comonomer is gradually varied from one end of the polymer to the other. Tapered 4 copolymers are commercially used as viscosity modifiers. oil 5 additives, thermoplastic elastomers, and impact-resistant plastics. 6 Much work has been done in an attempt to synthesize olefinic 7 block copolymers. The ideal catalyst system would produce a "living 8 polymer." Unlike typical Ziegler-Natta polymerization processes 9 living polymerization processes involve only initiation and 10 propagation steps and are essentially devoid of terminating side 11 reactions. This permits the synthesis of the predetermined and 12 well-controlled structures required for effective block 13 copolymerization. A polymer created in a "living" system can have an 14 extremely narrow distribution of molecular weight and be essentially 15 monodisperse. The living polymer technique is uniquely suited for the 16 preparation of block copolymers. Living catalyst systems are 17 characterized by an initiation rate which is considerably higher than the propagation rate, and the absence of termination or transfer 19 reactions. In addition, these systems are characterized by the presence of a single type of active site. 21 Anionic polymerization routes to ideal olefinic block 23 copolymers have been much studied. Butadiene-isoprene block copolymers have been synthesized using the sequential addition 25 technique. In sequential addition, a certain amount of one of the 26 monomers is contacted with the catalyst. Once the monomer has reacted 27 to extinction, forming the first block, a certain amount of the second monomer species is introduced and allowed to react to form the second block. The process may be repeated as desired using the same or other anionically polymerizable monomers. Ethylene and other alpha-olefins such as propylene and butene 31

32 are not directly block polymerizable by anionic techniques. Another 33 technique is described by Falk and Schlott in <u>Macromolecules</u>, 1971 4.

34 152. The first step in the procedure involves preparing block 35 polymers containing polybutadiene and polyisoprene using anionic

2

3

4

5

6 7

8

9

17

28

techniques. The low pressure catalytic hydrogentation of the block polymer obtains the saturated block polymer. The anionic routes to ideal olefinic block copolymers provide a clean route to model block copolymers. However, the method is impractical and is not sufficiently versatile for commercial purposes. For example, the anionic route cannot be used to synthesize HDPE or isotactic polypropylene (i-PP) segments. A coordination technique which has been used to synthesize block copolymers involves the use of vanadium catalysts at low 10 temperatures. Doi et. al., in Macromolecules, 1986, 19, 2896, showed 11 that a catalyst prepared from 12 tris(2-methyll-1,3-butanedionato)vanadium and  $Al(C_2H_5)_2Cl$  in toluene has high reactivity in the living coordination polymerization of propene and that a well-defined diblock copolymer of polypropylene and ethylene-propylene rubber can be prepared by adding ethylene monomer during the living polymerization of propene. 16 While the Doi technique has the advantage over the anionic 18 routes of dispensing with the hydrogenation step. it does require very 19 low temperatures. The block copolymerizations carried out by Doi et. 20 al. were performed at -70 and -60 C. Doi also reported, in M. 21 Kaminsky and H. Sinn (Eds.), <u>Transition</u> <u>Metals and Organometallics as</u> 22 Catalysts for Olefin Polymerization, Springer-Verlag 1988, the living 23 copolymerization, at -78 C, of propylene with 1,5-hexadiene. An 24 additional disadvantage of the technique is that it cannot be used to 25 produce i-PP. Furthermore, and no reports of the production of high 26 density polyethylene (HDPE) via this method have appeared in the 27 literature. The catalyst itself is poorly characterized and unstable. Many claims in the prior art have been made for the 29 production of block polymers in the presence of Ziegler-Natta type 30 catalysts. For example, JP63-712 to Mitsubishi Petrochemicals claims 31 a method of producing a propylene block copolymer using a catalyst 32 including a solid titanium component containing magnesium, titanium, 33 halogen and an electron-donor with an organo-aluminum cocatalyst to

34 prepare homopolypropylene in a first stage reaction, following which

35 in a second stage of reaction bis(cyclopentadienyl) titanium

```
dichloride is added and polymerization is continued in the presence of
    added ethylene. The propylene block copolymers produced are said to
2
3
    have high rigidity and impact strength and good molding properties.
4
             U.S. Patent 4,408,019 to Blunt relates to the use of a
5
    catalyst-activator system wherein titanium is the sole metal component
    to produce block copolymers of ethylene and propylene. The block
6
7
    copolymers have the general formula (AB)<sub>n</sub>A wherein A is a
8
    crystalline polypropylene block, each B is a random ethylene-propylene
    copolymer block, and n is an integer from 1 to about 12. The
9
    catalyst-activator is described as a combination of titanium
    trichloride as the catalyst and
11
    dimethylbis(methylcyclopentadienyl)titanium as an activator. The
    amount of ethylene in the block polymer is typically 40-65 wt.1 with
    5-15 wt.1 present in the ethylene-propylene segments. The
    thermoplastic block polymers are said to have superior tensile
15
16 strength and lower compression set than prior art block polymers. In
    discussing prior art catalysts, Blunt observes that the production of
18 a true block polymer is dependent upon there being no permanent
19 interruption in the growth of any given polymer chain until all of the
20 blocks have been completed. Moreover, Blunt states that the
21 traditional Ziegler-Natta catalysts based on titanium halides in
22 conjunction with alkylaluminum compounds do not provide a sufficiently
23 long chain lifetime to permit the formation of block copolymers of
24
    more than two copolymer blocks.
             While many patents and publications claim the synthesis of
26 block copolymers from ethylene and propylene, there is no evidence
27 that these products were obtained as well defined block polymers. The
28 known kinetic features of heterogeneous Ziegler-Natta catalysts
29 suggest that it is unlikely that block polymers were synthesized in
30 high concentrations. (J. Boor, Ziegler-Natta Catalysts and
31 Polymerizations, Academic Press 1979). According to Boor, the
32 reported propylene and ethylene block copolymers are most likely
33 mixtures of largely isotactic polypropylene, polyethylene, and either
34 random, block, or tapered copolymers which are present in low
35 concentrations, in the range of 0 to 20%.
```

2

3

5

6

7

8

- 6 -

As reasons for his conclusion, Boor lists a large number of inherent barriers which must be overcome in using known Ziegler-Natta catalysts to synthesize block copolymers. For example, to synthesize ethylene/ $\alpha$ -olefins block copolymers the following conditions must be satisfied: 1) all of the Ti centers must become active simultaneously; 2) all the Ti centers must stay alive during the polymerization; 3) all the Ti centers must have equal activities for polymerizing propylene and ethylene; 4) all the Ti centers must be equally accessible to the available olefin molecules; 5) the 10 cross-over propagation rates must be high for Ti-propylene centers to 11 be efficiently converted into Ti-polyethylene centers; 6) the centers 12 must be isotactic-specific for propylene polymerization and polymerize 13 all ethylene molecules to a linear polymer. Several difficulties arise in the use of known catalysts for

14 the block copolymerization of olefins. Among those are the fact that 15 16 conventional catalysts are typically multi-sited, and a significant fraction of the active sites are unstable. This leads to random chain initiation and termination which, in turn, lowers the theoretical 18 block copolymer yield. What is desired, and what practice of this invention provides, is a catalyst system with well-characterized 20 structure and reactivity which has a single active site. The system 21 should have well-defined and stable polymerization kinetics and be free of aluminum alkyls or other chain transfer agents.

#### SUMMARY OF THE INVENTION

24 The invention comprises a process for the production of novel block copolymers of ethylene with an  $\alpha$ -olefin and the polymers 25 obtained therefrom. The process includes sequentially contacting ethylene with an  $\alpha\text{-olefin}$  monomer in a suitable solvent at about  $0^{\circ}\text{C}$ 27 in the presence of an ionic catalyst to produce a block copolymer. Thus, for example, when a-PP-HDPE diblock is produced, the process 29 provides a diblock copolymer yield of 40-70%. The molecular weight of 30 the block copolymer may be controlled by varying the catalyst-to-monomer ratio. The ionic catalyst which is a critical 32 33 component of the inventive polymerization process, comprises the

1 reaction product of a first component which is a bis(cyclopentadienyl) 2 derivative of a metal of Group IV-B of the Periodic Table of the 3 Elements, which metal is capable of forming a cation formally having a 4 coordination number of 3 and a valence of +4; and at least one second 5 component comprising a cation capable of donating a proton and a 6 compatible non-coordinating anion which anion is bulky and labile, and 7 capable of stabilizing the Group IV-B metal cation without interfering 8 with the ability of said Group IV-B metal cation, or its decomposition 9 product, to polymerize  $\alpha\text{-olefins}$  to form tapered polymers and multiblock polymers such as di- and tri-block homo-, and copolymers of 10 ethylene and propylene with one or more other alpha-olefins.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

The invention provides (1) novel non-random di-, tri-, and multi-block copolymers and tapered copolymers of ethylene, 1-olefins, diolefins, cyclic olefins, acetylenes and other unsaturated monomers; and (2) the processes for polymerizing said non-random copolymers.

## Ionic Catalyst System - General Description

16 The process of this invention is practiced with that class of 17 ionic catalysts referred to, disclosed, and described in our copending 18 U.S. Patent Application Nos. 133,052 and 133,480. The ionic catalyst 19 is prepared by combining at least two components. The first of these 20 is a bis(cyclopentadienyl) derivative of a Group IV-B metal compound 21 containing at least one ligand which will combine with the second 22 component or at least a portion thereof such as a cation portion 23 thereof. The second component is an ion-exchange compound comprising 24 a cation which will irreversibly react with at least one ligand 25 contained in said Group IV-B metal compound and a noncoordinating 26 anion which is bulky, labile, and stable. Upon combination of the 27 first and second components, the cation of the second component reacts 28 with one of the ligands of the first component, thereby generating an 29 ion pair consisting of a Group IV-B metal cation with a formal 30 coordination number of 3 and a valence of +4 and the aforementioned 31 anion, which anion is compatible with and non-coordinating towards the

ing the second s

9

10

11

12

13

14

15

18

19

20

21

22

23 24

25

26

27

metal cation formed from the first component. The anion of the second compound must be capable of stabilizing the Group IV-B metal cation complex without interfering with the Group IV-B metal cation's or its composition product's ability to function as a catalyst and must be sufficiently labile to permit displacement by an olefin, diolefin or an acetylenically unsaturated monomer during polymerization.

#### A. The Metallocene Component

The Group IV-B metal compounds; i.e., titanium, zirconium and hafnium metallocene compounds, useful as first compounds in the preparation of the improved catalyst of this invention are bis(cyclopentadienyl) derivatives of titanium, zirconium and hafnium. In general, useful titanocene, zirconocenes and hafnocenes may be represented by the following general formulae:

- 1.  $(A-Cp)MX_1X_2$
- 2. (A-Cp)MX'<sub>1</sub>X'<sub>2</sub>
- 16 3. (A-Cp)ML
- 17 4. (Cp\*)(CpR) MX<sub>1</sub>

wherein "Cp" represents a cyclopentadienyl radical which may be substituted or unsubstituted, and"

(A-cp) is either (Cp)(Cp $^*$ ) or Cp-A'-Cp $^*$  and Cp and Cp $^*$  are the same or different cyclopentadienyl ring substituted with from zero to five substituent groups R.

The substituent groups R may be, independently, selected from a wide variety of classes of radical groups which form covalent bonds to the carbon atoms of the Cp-rings. These substituents act to modify the catalyst behavior by affecting the symmetry, sterics, and electronics of the transition metal center.

1 Illustrative but not limiting examples of suitable R substituents 2 include hydrocarbyl radicals containing from 1 to 50 carbon atoms; 3 substituted hydrocarbyl radicals wherein one or more of the 4 hydrogen atoms is replaced by a halogen radical, an amido raidcal 5  $(X_1X_2N-)$ , a phosphido radical  $(X_1X_2P-)$ , an alkoxy radical  $(X_10)$  or 6 any other radical containing Lewis acidic or basic functionality; 7 hydrocarbyl substituted metalloid radicals wherein the metalloid 8 is selected from the Group IV-A of the Periodic Table of elements: halogen radicals, amido radicals (X1X2N-), phosphido radicals 10  $(X_1X_2P-)$ , alkoxy radicals  $(X_10)$ , alkylborido radicals  $(X_1X_2B-)$ , or 11 any other radical containing Lewis acidic or basic functionality; 12 or Cp and/or Cp\* are a cyclopentadienyl ring in which two adjacent R groups are joined forming a C4 to C20 ring to give a saturated or unsaturated polycyclic cyclopentadienyl ligand such as indenyl, 15 tetrahydroindenyl, flugrenyl, or octahydrofluorenyl; A' is a covalent bridging group between the two cyclopentadienyl groups; M is titanium, zirconium or hafnium; L is an olefin, diolefin or 18 aryne ligant; X1 and X2 are, independently, selected from the 19 group consisting of hydride radicals, hydrocarbyl radicals having 20 from 1 to about 20 carbon atoms, hydrocarbyl radicals wherein one or more of the hydrogen atoms are replaced with a halogen atom, 22 organometalloid radicals comprising a Group IV-A element wherein each of the hydrocarbyl substitutions contained in the organic portion of said organometalloid independently contain from 1 to 25 about 20 carbon atoms and the like; X'1 and X'2 are joined and bound to the metal atom to form a metallacycle, in which the metal atom, X'1and X'2 form a hydrocarbocyclic ring containing from 27 28 about 3 to about 20 carbon atoms; and R is a substituent. 29 preferably a hydrocarbyl substituent, on one of the cyclopentadienyl radicals which is also bound to the metal atom.

> \* /\* \*

```
1
             Illustrative, but not limiting examples of
    bis(cyclopentadienyl)zirconium compounds which may be used in the
2
    preparation of the improved catalyst of this invention are
3
    dihydrocarbyl-substituted bis(cyclopentadienyl)zirconium compounds
5
    such as bis(cyclopentadienyl)zirconium dimethyl,
6
    bis(cyclopentadienyl)zirconium diethyl, bis(cyclopentadienyl)zirconium
7
    dipropyl, bis(cyclopentadienyl)zirconium dibutyl.
8
    bis(cyclopentadienyl)zirconium diphenyl.
9
    bis(cyclopentadienyl)zirconium dineopentyl,
10
    bis(cyclopentadienyl)zirconium di(m-tolyl),
    bis(cyclopentadienyl)zirconium di(p-tolyl) and the like;
11
12
    (monohydrocarbyl-substituted cyclopentadienyl)zirconium compounds such
13
    as (methylcyclopentadienyl)(cyclopentadienyl) and
14
    bis(methylcyclopentadienyl)zirconium dimethyl, (ethylcyclopentadienyl)
15
    (cyclopentadienyl) and bis(ethylcyclopentadienyl)zirconium dimethyl,
16
    (propylcyclopentadienyl)(cyclopentadienyl) and
17
    bis(propylcyclopentadienyl)zirconium dimethyl.
18
     (n-butylcyclopentadienyl) (cyclopentadienyl) and
19
     bis(n-butylcyclopentadienyl)zirconium dimethyl.
20
     (t-butylcyclopentadienyl) (cyclopentadienyl) and
21
     bis(t-butylcyclopentadienyl)zirconium dimethyl.
22
     (cyclohexylmethylcyclopentadienyl) (cyclopentadienyl) and
23
     bis(cyclohexylmethylcyclopentadienyl)zirconium dimethyl,
24
     (benzylcyclopentadienyl) (cyclopentadienyl) and
25
     bis(benzylcyclopentadienyl)zirconium dimethyl.
25
     (diphenylmethylcyclopentadienyl) (cyclopentadienyl) and
27
     bis(diphenylmethylcyclopentadienyl)zirconium dimethyl,
28
     (methylcyclopentadienyl) (cyclopentadienyl) and
29
     bis(methylcyclopentadienyl)zirconium dihydride.
30
     (ethylcyclopentadienyl)(cyclopentadienyl) and
31
     bis(ethylcyclopentadienyl)zirconium dihydride,
32
     (propylcyclopentadienyl)(cyclopentadienyl) and
33
     bis(propylcyclopentadienyl)zirconium dihydride.
34
     (n-butylcyclopentadienyl)(cyclopentadienyl) and
 35
     bis(n-butylcyclopentadienyl)zirconium dihydride,
```

(t-butylcyclopentadienyl)(cyclopentadienyl) and

- bis(t-butylcyclopentadienyl)zirconium dihydride. 1
- 2 (cyclohexylmethylcyclopentadienyl)(cyclopentadienyl) and
- 3 bis(cyclohexylmethylcyclopentadienyl)zirconium dihydride.
- 4 (benzylcyclopentadienyl)(cyclopentadienyl) and
- 5 bis(benzylcyclopentadienyl)zirconium dihydride.
- (diphenylmethylcyclopentadienyl)(cyclopentadienyl) and 6
- bis(diphenylmethylcyclopentadienyl)zirconium dihydride and the like; 7
- (polyhydrocarbyl-substituted-cyclopentadienyl) zirconium compounds 8
- such as (dimethylcyclopentadienyl) (cyclopentadienyl) and 9
- bis(dimethylcyclopentadienyl) zirconium dimethyl, 10
- (trimethylcyclopentadienyl) (cyclopentadienyl) and 11
- 12 bis(trimethylcyclopentadienyl) zirconium dimethyl,
- (tetramethylcyclopentadienyl) (cyclopentadienyl) and 13
- bis(tetramethylcyclopentadienyl) zirconium dimethyl. 14
- (permethylcyclopentadienyl) (cyclopentadienyl) and 15
- bis(permethylcyclopentadienyl) zirconium dimethyl. 16
- (ethyltetramethylcyclopentadienyl) (cyclopentadienyl) and 17
- bis(ethyltetramethylcyclopentadienyl) zirconium dimethyl, 18
- (indenyl)(cyclopentadienyl) and bis(indenyl)zirconium dimethyl, 19
- (dimethylcyclopentadienyl) (cyclopentadienyl) and 20
- bis(dimethylcyclopentadienyl) zirconium dihydride, 21
- (trimethylcyclopentadienyl) (cyclopentadienyl) and 22
- bis(trimethylcyclopentadienyl) zirconium dihydride, 23
- (tetramethylcyclopentadienyl) (cyclopentadienyl) and 24
- bis(tetramethylcyclopentadienyl)zirconium dihydride. 25
- (permethylcyclopentadienyl) (cyclopentadienyl) and 26
- bis(permethylcyclopentadienyl)zirconium dihydride, 27
- (ethyltetramethylcyclopentadienyl) (cyclopentadienyl) and 28
- bis(ethyltetramethylcyclopentadienyl)zirconium dihydride. 29
- (indenyl)(cyclopentadienyl) and bis(indenyl)zirconium 30
- (propylcyclopentadienyl) (cyclopentadienyl) and 31
- bis(propylcyclopentadienyl)zirconium dihydride. 32
- (n-butylcyclopentadienyl) (cyclopentadienyl) and 33
- bis(n-butylcyclopentadienyl)zirconium dihydride,
- (t-butylcyclopentadienyl) (cyclopentadienyl) and

- bis(t-buty)cyclopentadienyl)zirconium dihydride.
- 2 (cyclohexylmethylcyclopentadienyl) (cyclopentadienyl) and
- 3 bis(cyclohexylmethylcyclopentadienyl)zirconium dihydride.
- 4 (benzylcyclopentadienyl) (cyclopentadienyl) and
- 5 bis(benzylcyclopentadienyl)zirconium dihydride.
- 6 (diphenylmethylcyclopentadienyl) (cyclopentadienyl) and
- 7 bis(diphenylmethylcyclopentadienyl)zirconium dihydride and the like:
- 8 (polyhydrocarbyl-substituted-cyclopentadienyl) zirconium compounds
- 9 such as (dimethylcyclopentadienyl) (cyclopentadienyl) and
- 10 bis(dimethylcyclopentadienyl) zirconium dimethyl,
- 11 (trimethylcyclopentadienyl) (cyclopentadienyl) and
- 12 bis(trimethylcyclopentadienyl) zirconium dimethyl,
- 13 (tetramethylcyclopentadienyl) (cyclopentadienyl) and
- 14 bis(tetramethylcyclopentadienyl) zirconium dimethyl,
- 15 (permethylcyclopentadienyl) (cyclopentadienyl) and
- 16 bis(permethylcyclopentadienyl) zirconium dimethyl,
- 17 (ethyltetramethylcyclopentadienyl) (cyclopentadienyl) and
- 18 bis(ethyltetramethylcyclopentadienyl)zirconium dimethyl,
- 19 (indenyl)(cyclopentadienyl) and bis(indenyl)zirconium dimethyl.
- 20 (dimethylcyclopentadienyl)(cyclopentadienyl) and
- 21 bis(dimethylcyclopentadienyl)zirconium dihydride,
- 22 (trimethylcyclopentadienyl) (cyclopentadienyl) and
- 23 bis(trimethylcyclopentadienyl)zirconium dihydride,
- 24 (tetramethylcyclopentadienyl) (cyclopentadienyl) and
- 25 bis(tetramethylcyclopentadienyl)zirconium dihydride,
- 26 (permethylcyclopentadienyl)(cyclopentadienyl) and
- 27 bis(permethylcyclopentadienyl)zirconium dihydride.
- 28 (ethyltetramethylcyclopentadienyl)(cyclopentadienyl) and
- 29 bis(ethyltetramethylcyclopentadienyl)zirconium dihydride,
- 30 (indenyl)(cyclopentadienyl) and bis(indenyl)zirconium dihydride and
- 31 the like; (metal hydrocarbyl-substituted cyclopentadienyl)zirconium
- 32 compounds such as (trimethylsilylcyclopentadienyl)(cyclopentadienyl)
- 33 and bis(trimethylsilylcyclopentadienyl)zirconium dimethyl,
- 34 (trimethylgermylcyclopentadienyl)(cyclopentadienyl) and
- 35 bis(trimethylgermylcyclopentadienyl)zirconium dimethyl,

```
(trimethylstannylcyclopentadienyl)(cyclopentadienyl) and
1
    bis(trimethylstannylcyclopentadienyl)zirconium dimethyl,
2
    (trimethylplumbylcyclopentadienyl)(cyclopentadienyl) and
3
    bis(trimethylplumbylcyclopentadienyl)zirconium dimethyl,
4
    (trimethylsilylcyclopentadienyl)(cyclopentadienyl) and
5
    bis(trimethylsilylcyclopentadienyl)zirconium dihydride,
6
    (trimethylgermylcyclopentadienyl)(cyclopentadienyl) and
7
    bis(trimethylgermylcyclopentadienyl)zirconium dihydride,
8
    (trimethylstannylcyclopentadienyl)(cyclopentadienyl) and
9
    bis(trimethylstannylcyclopentadienyl)zirconium dihydride,
10
    (trimethylplumbylcyclopentadienyl)(cyclopentadienyl) and
    bis(trimethylplumbylcyclopentadienyl)zirconium dihydride and the like;
12
    (halogen-substituted-cyclopentadienyl) zirconium compounds such as
13
    (trifluoromethylcyclopentadienyl)(cyclopentadienyl) and
    bis(trifluoromethylcyclopentadienyl)zirconium dimethyl
    (trifluoromethylcyclopentadienyl)(cyclopentadienyl) and
    bis(trifluoromethylcyclopentadienyl)zirconium dihydride and the like;
    silyl-substituted bis(cyclopentadienyl) zirconium compounds such as
    bis(cyclopentadienyl) (trimethylsilyl)(methyl)zirconium.
    bis(cyclopentadienyl) (triphenylsilyl)(methyl)zirconium,
    bis(cyclopentadienyl) [tris(dimethylsilyl)silyl](methyl)zirconium,
    bis(cyclopentadienyl)[bis(mesityl)silyl](methyl)zirconium.
   bis(cyclopentadienyl)(trimethylsilyl)trimethylsilylmethyl) zirconium,
    bis(cyclopentadienyl) (trimethylsilylbenzyl) and the like;
    (bridged-cyclopentadienyl)zirconium compounds such as methylene
    bis(cyclopentadienyl)zirconium dimethyl,
    methylene(cyclopentadienyl)zirconium dimethyl, ethylene
27
    bis(cyclopentadienyl)zirconium dimethyl, dimethylsilyl
    bis(cyclopentadienyl)zirconium dihydride, ethylene
29
    bis(cyclopentadienyl)zirconium dihydride and dimethylsilyl
    bis(cyclopentadienyl)zirconium dihydride and the like; chiral and
31
   C<sub>2</sub>-symmetion compounds; "zirconacycles": assymetrically
32
33 bridged-dicylopentadienyl@compounds such as
34 methylene(cyclopentadienyl)(1-fluorenyl)zirconium dimethyl,
```

35 dimethysily(cyclopentadienyl)(1-fluorenyl)zirconium dihydride,

```
1
     diphenylmethylene(cyclopentadienyl)(1-fluorenyl)zirconium dimethyl,
2
     isopropyl(cyclopentadienyl)(1-fluorenyl)zirconium dimethyl,
3
     isopropyl(cyclopentadienyl)(1-octahydrofluorenyl)zirconium dihydride,
4
     dimethylsilyl(methylcyclopentadienyl(1-fluorenyl)zirconium dihydride.
5
     methylene(cyclopentadieny)(tetramethylcyclopentadieny))zirconium
     dimethyl and the like: racemic and meso isomers of symmetrically
6
7
     bridged substituted dicyclopentadienyl compounds such as
8
     ethylenebis(indenyl)zirconium dimethyl.
9
     dimethylsilybis(indenyl)zirconium dimethyl.
10
     ethylenebis(tetrahydroindenyl)zirconium dimethyl.
11
     dimethylsilybis(3-trimethylsilylcyclopentadientyl)zirconium dihydride
12
     and the like; zirconacycles such as bis(pentamethylcyclopentadienyl)
13
     zirconacyclobutane, bis(pentamethylcyclopentadienyl)
14
     zirconacyclopentane, bis(cyclopentadienyl)zirconaindane.
15
      1-bis(cyclopentadienyl)zircona-3-dimethylsila-cyclobutane and the
16
      like; olefin, diolefin and aryne ligand substituted
17
      bis(cyclopentadienyl)zirconium compounds such as bis(cyclopentadienyl)
18
      (1,3-butadiene)zirconium, bis(cyclopentadienyl)
19
      (2,3-dimethy1-1,3-butadiene)zirconium,
20
      bis(pentamethylcyclopentadienyl)(benzyne)zirconium and the like;
21
      (hydrocarbyl)(hydride) bis(cyclopentadienyl)zirconium compounds such
22
      as bis(pentamethylcyclopentadienyl)zirconium (phenyl)(hydride),
23
      bis(pentamethylcyclopentadienyl)zirconium (methyl)(hydride) and the
24
      like; and bis(cyclopentadienyl) zirconium compounds in which a
25
      substituent on the cyclopentadienyl radical is bound to the metal such
26
      as (pentamethylcyclopentadienyl)
27
      (tetramethylcyclopentadienylmethylene) zirconium hydride,
28
      (pentamethylcyclopentadienyl)
29
      (tetramethylcyclopentadienylmethylene)zirconium phenyl and the like.
30
               A similar list of illustrative bis(cyclopentadienyl) hafnium
31
      and bis(cyclopentadienyl)titanium compounds could be made, but since
32
      the lists would be nearly identical to that already presented with
33
      respect to bis(cyclopentadienyl)zirconium compounds, such lists are
34
      not deemed essential to a complete disclosure. Other
35
      bis(cyclopentadienyl)hafnium compounds and other
```

bis(cyclopentadienyl)titanium compounds as well as other

35

bis(cyclopentadienyl)zirconium compounds which are useful in the catalyst compositions of this invention will, of course, be apparent to those skilled in the art.

B. The Activator Component

6 Compounds useful as an activator component in the preparation 7 of the catalyst of this invention will comprise a cation, which is a Bronsted acid capable of donating a proton, and a compatible noncoordinating anion which anion is relatively large (bulky), capable 10 of stabilizing the active catalyst species (the Group IV-B cation) 11 which is formed when the two compounds are combined and said anion 12 will be sufficiently labile to be displaced by olefinic, diolefinic 13 and acetylenically unsaturated substrates or other neutral Lewis bases 14 such as ethers, nitriles and the like. In general, suitable anions for 15 the second component may be any stable and bulky anionic complex having 16 the following molecular attributes: 1) the anion should have a 17 molecular diameter greater than 4A; 2) the anion should form stable 18 ammonium salts; 3) the negative charge on the anion should be 19 delocalized over the framework of the anion or be localized within the 20 core of the anion; 4) the anion should be a relatively poor 21 nucleophile; and 5) the anion should not be a powerful reducing or 22 oxidizing agent. Anions meeting these criteria - such as polynuclear 23 boranes, carboranes, metallacarboranes, polyoxoanions and anionic 24 coordination complexes are well described in the chemical literature. 25 Two classes of compatible non-coordinating anions meeting these criteria 26 have been disclosed in our copending US Patent Applications Nos. 133,052 27 and 133,480: 1) anionic coordination complexes comprising a plurality 28 of lipophilic radicals covalently coordinated to and shielding a central 29 charge-bearing metal or metalloid core, and 2) anions comprising a 30 plurality of boron atoms such as carboranes, metallacarboranes and 31 boranes. 32 In general, the activator compounds containing single anionic

34 represented by the following general formula:

33 coordination complexes which are useful in this invention may be

```
1
            Wherein:
2
                  L' is a neutral Lewis base:
3
                 H is a hydrogen atom:
4
                 [L'-H] is a Bronsted acid:
5
                 H' is a metal or metalloid selected from the Groups
   subtended by Groups V-B to V-A of the Periodic Table of the Elements:
6
   i.e., Groups V-B, VI-B, VII-B, VIII, I-B, III-B, III-A, IV-A, and V-A;
                 Q<sub>1</sub> to Q<sub>n</sub> are selected, independently, from the
9 time, hydrocarbyl radicals containing from 1 to about 20 carbon atoms,
10 substituted-hydrocarbyl radicals, wherein one or more of the hydrogen
11 atoms is replaced by a halogen atom, containing from 1 to about 20
12 carbon atoms, hydrocarbyl-substituted metal (organometalloid) radicals
13 wherein each hydrocarbyl substitution contains from 1 to about 20
14 carbon atoms and said metal is selected from Group IV-A of the
15 Periodic Table of the Elements and the like.
```

In general, Ar, and Ar, may, independently, be any 17 aromatic or substituted-aromatic hydrocarbon radical containing from 18 about 6 to about 20 carbon atoms. Suitable aromatic radicals include. 19 but are not limited to, phenyl, naphthyl and anthracenyl radicals. 20 Suitable substituents on the substituted-aromatic hydrocarbon 21 radicals, include, but are not necessarily limited to, hydrocarbyl 22 radicals, organometalloid radicals, alkoxy radicals, alkylamido 23 radicals, fluoro and fluorohydrocarbyl radicals and the like such as 24 those useful as  $X_a$  and  $X_a$ . The substituent may be ortho, meta 25 or para, relative to the carbon atoms bonded to the boron atom. When 26 either or both  $X_a$  and  $X_a$  are a hydrocarbyl radical, each may 27 be the same or a different aromatic or substituted-aromatic radical as 28 are Ar, and Ar,, or the same may be a straight or branched 29 alkyl, alkenyl or alkynyl radical having from 1 to about 20 carbon 30 atoms, a cyclic hydrocarbon radical having from about 5 to about 8 31 carbon atoms or an alkyl-substituted cyclic hydrocarbon radical having 32 from about 6 to about 20 carbon atoms.  $X_a$  and  $X_a$  may also, 33 independently, be alkoxy or dialkylamido radicals wherein the alkyl 34 portion of said alkoxy and dialkylamido radicals contain from 1 to 35 about 20 carbon atoms, hydrocarbyl radicals and organometalloid 36 radicals having from 1 to about 20 carbon atoms and the like. As

7

8

```
indicated above, Ar<sub>1</sub> and Ar<sub>2</sub> may be linked to each other.

Similarly, either or both of Ar<sub>1</sub> and Ar<sub>2</sub> could be linked to either X<sub>2</sub> or X<sub>4</sub>. Finally, X<sub>3</sub> or X<sub>4</sub> may also be linked to each other through a suitable bridging group.
```

Illustrative, but not limiting, examples of boron compounds which may be used as an activator component in the preparation of the improved catalysts of this invention are trialkyl-substituted ammonium salts such as triethylammonium tetra(phenyl)boron, tripropylammonium

- 9 tetra(phenyl)boron, tri(n-butyl)ammonium tetra(phenyl)boron.
- 10 trimethylammonium tetra(p-tolyl)boron, trimethylammonium
- 11 tetra(o-toly1)boron, tributylammonium tetra(pentafluoropheny1)boron.
- 12 tripropylammonium tetra(o,p-dimethylphenyl)boron, tributylammonium
- 13 tetra(m,m-dimethylphenyl)boron, tributylammonium
- 14 tetra(p-tri-fluoromethylphenyl)boron, tri(n-butyl)ammonium
- 15 tetra(o-tolyl)boron and the like; N,N-dialkyl anilinium salts such as
- 16 N.N-dimethylanilinium tetra(pentafluoro phenyl)boron.
- 17 N,N-diethylanilinium tetra(phenyl)boron.
- 18 N.N-2,4,6-pentamethylanilinium tetra(phenyl)boron and the like;
- 19 dialkyl ammonium salts such as di(i-propyl)ammonium
- 20 tetra(pentafluorophenyl)boron, dicyclohexylammonium tetra(phenyl)boron
- 21 and the like; and triaryl phosphonium salts such as
- 22 triphenylphosphonium tetra(phenyl)boron, tri(methylphenyl)phosphonium
- 23 tetra(phenyl)boron, tri(dimethylphenyl)phosphonium tetra(phenyl)boron
- 24 and the like.

Similar lists of suitable compounds containing other metals and metalloids which are useful as activator components may be made, but such lists are not deemed necessary to a complete disclosure. In this regard, it should be noted that the foregoing list is not intended to be exhaustive and that other useful boron compounds as well as useful compounds containing other metals or metalloids would be readily apparent to those skilled in the art from the foregoing general equations.

Activator components based on anions which contain a
plurality of boron atoms may be represented by the following general
formulae:

The state of the s

6. [L'-H]\_[(CX)\_)(H"X')\_X',]C-

36

25

26

27

28

29

30 31

32

35

## <sup>1</sup> 7. $\{L'-H\}_d\{\{\{\{(CX_3)_a,(M"X_4)_m,(X_5)_b,\}^{c^b}\}_2M^{n+}\}^{d-}\}$

wherein [L'-H] is either H<sup>+</sup>, ammonium or a substituted ammonium cation having up to 3 hydrogen atoms replaced with a 4 hydrocarbyl radical containing from 1 to about 20 carbon atoms or a 5 substituted-hydrocarbyl radical, wherein one or more of the hydrogen 6 atoms is replaced by a halogen atom, containing from 1 to about 20 7 carbon atoms, phosphonium radicals, substituted-phosphonium 8 radicals having up to 3 hydrogen atoms replaced with a hydrocarbyl 9 radical containing from 1 to about 20 carbon atoms or a substituted-hydrocarbyl radical, wherein 1 or more of the hydrogen 10 atoms is replaced by a halogen atom, containing from 1 to about 20 11 12 carbon atoms and the like; C is carbon; M" is boron or phosphorus; 13 each of X, X', X",  $X_{\underline{s}}$   $X_{\underline{s}}$  and  $X_{\underline{s}}$  are radicals selected, independently, from the group consisting of hydride radicals, halide 14 15 radicals, hydrocarbyl radicals containing from 1 to about 20 carbon atoms, substituted-hydrocarbyl radicals, wherein one or more of the 16 hydrogen atoms is replaced by a halogen atom, containing from 1 to 20 17 18 carbon atoms, organometalloid radicals wherein each hydrocarbyl substitution in the organo portion contains from 1 to about 20 carbon 19 20 atoms and said metal is selected from Group IV-A of the Periodic 21 Table of the Elements and the like; M is a transition metal: "a" and 22 "b" are integers  $\geq 0$ ; "c" is an integer  $\geq 1$ ; a + b + c = an23 even-numbered integer from 2 to about 8; and "m" is an integer ranging from 5 to about 22; "a'" and "b'" are the same or a different 24 25 integer  $\geq$  0; "c'" is an integer  $\geq$  2; a' + b' + c' = an 26 even-numbered integer from 4 to about 8; "m'" is an integer from 6 to 27 about 12; "n" is an integer such that 2c' - n = d; and "d" is an 28 integer greater than or equal to 1... 29 Illustrative, but not limiting, examples of second components which can be used in preparing catalyst systems utilized 30 in the process of this invention wherein the anion of the second 31 32 component contains a plurality of metalloid atoms (as in formulae 5 33 and 6) are ammonium salts such as ammonium 1-carbadodecaborate (using

36 ammonium salts such as methylammonium l-carbadodecaborate,

1-carbadodecaborate as an illustrative, but not limiting, counterion

for the ammonium cations listed below): monohydrocarbyl-substituted

7 ethylammonium l-carbadodecaborate, propylammonium

1-carbadodecaborate, isopropylammonium 1-carbadodecaborate,

(n-butyl)ammonium 1-carbadodecaborate, anilinium 1-carbadodecaborate.

```
and (p-toly1)ammonium 1-carbadodecaborate and the like:
   dihydrocarbyl-substituted ammonium salts such as dimethylammonium
   1-carbadodecaborate, diethylammonium 1-carbadodecaborate.
   dipropylammonium 1-carbadodecaborate, diisopropylammonium
7 1-carbadodecaborate, di(n-butyl) ammonium 1-carbadodecaborate,
   diphenylammonium 1-carbadodecaborate, di(p-tolyl)ammonium
   1-carbadodecaborate and the like; trihydrocarbyl-substituted ammonium
10 salts such as trimethylammonium 1-carbadodecaborate, triethylammonium
11 1-carbadodecaborate, tripropyl-ammonium 1-carbadodecaborate,
12 tri(n-butyl) ammonium l-carbadodecaborate, triphenylammonium
13 1-carbadodecaborate, tri(p-toly1)ammonium 1-carbadodecaborate,
14 N,N-dimethylanilinium 1-carbadodecaborate, N,N-diethylanilinium
15 1-carbadodecaborate and the like.
16
            Illustrative, but not limiting examples of second compounds
17 corresponding to Formula 5 [using tri(n-butyl)ammonium as an
18 illustrative, but not limiting, counterion for the anions listed
19 below] are salts of anions such as bis[tri(n-butyl)ammonium]
20 nonaborate, bis[tri(n-buty1)ammonium]decaborate, bis[tri(n-buty1)
21 ammonium]undecaborate, bis[tri(n-butyl)ammonium] dodecaborate,
22 bis[tri(n-butyl)ammonium]decachlorodecaborate, tri(n-butyl)ammonium
23 dodecachlorododecaborate, tri(n-butyl)ammonium 1-carbadecaborate,
```

30 2,7-dicarbaundecaborane(13),

31 undecahydrido-7,8-dimethyl-7,8-dicarbaundecaborane,

32 dodecahydrido-11-methyl-2,7-di-carbaundecaborane, tri(n-butyl)

24 tri(n-butyl) ammonium 1-carbaundecaborate, tri(n-butyl)ammonium

27 dibromo-1-carbadodecaborate and the like; borane and carborane 28 complexes and salts of borane and carborane anions such as

26 1-trimethylsilyl-1-carbadecaborate, tri(n-butyl)ammonium

33 ammonium undecaborate(14), tri(n-butyl)ammonium

25 1-carbadodecaborate, tri(n-buty1)ammonium

29 decaborane(14), 7,8-dicarbaundecaborane(13),

- 34 6-carbadecaborate(12), tri(n-butyl)ammonium 7-carbaundecaborate(13),
- 35 tri(n-butyl)ammonium 7,8-dicarbaundecaborate(12),

```
1 tri(n-butyl)ammonium 2,9-dicarbaundecaborate(12),
```

- tri(n-butyl)ammonium dodecahydrido-8-methyl-7,9-dicarbaundecaborate.
- 3 tri(n-butyl)ammonium undecahydrido-8-ethyl-7,9-dicarbaundecaborate,
- 4 tri(n-butyl) ammonium undecahydrido-8-butyl-7,9-dicarbaundecaborate.
- 5 tri(n-butyl)ammonium undecahydrido-8-allyl-7,9-dicarbaundecaborate,
- 6 tri(n-butyl)ammonium
- 7 undecahydrido-9-trimethylsilyl-7,8-dicarbaundecaborate,
- 8 tri(n-butyl)ammonium undecahydrido-4,6-dibromo-7-carbaundecaborate
- 9 and the like; boranes and carboranes and salts of boranes and
- 10 carboranes such as 4-carbanonaborane(14), 1,3-dicarbanonaborane(13),
- 11 6,9-dicarbadecaborane(14),
- 12 dodecahydrido-l-phenyl-1,3-dicarbanonaborane,
- 13 dodecahydrido-1-methyl-1,3-dicarbanonaborane,
- 14 undecahydrido-1,3-dimethyl-1,3-dicarbanonaborane and the like.
- 15 Illustrative, but not limiting, examples of second compounds
- 16 corresponding to Formula 7 [using tri(n-butyl)ammonium as an
- 17 illustrative, but not limiting, counterion for the anions listed
- 18 below] are salts of metallacarborane and metallaborane anions such as
- 19 tri(n-butyl)ammonium bis(nonahydrido-1,3-dicarbanonaborato)
- 20 cobaltate(III), tri(n-butyl)ammonium
- 21 bis(undeca-hydrido-7,8-dicarbaundecaboratoferrate(III), tri(n-butyl)
- 22 ammonium bis(undecahydrido-7,8-dicarbaundecaborato)cobaltate(III).
- 23 tri(n-butyl)ammonium bis(undecahydrido-7,8-dicarbaunaborato)
- 24 nikelate(III), tri(n-butyl)ammonium bis(nonahydrido-7,
- 25 8-dimethyl-7,8-dicarbaundecaborato)ferrate(III), tri(n-butyl)ammonium
- 26 bis(nonahydrido-7,8-dimethyl-7,8-dicarbaundecaborato)chromate(III).
- 27 tri(n-butyl)ammonium
- 28 bis(tribromooctahydrido-7,8-dicarbaundecaborato)cobaltate(III),
- 29 tri(n-butyl)ammonium bis(dodecahydridodicarbadodecaborato)
- 30 cobaltate(III), tris[tri(n-butyl)ammonium] bis
- 31 (undecahydrido-7-carbaundecaborato)chromate(III),

- bis[tri(n-butyl) ammonium]
- bis(undecahydrido-7-carbaundecaborato)manganate(IV).
- 3 bis[tri(N-butyl)ammonium] bis(undecahydrido-7-carbaundecaborato)
- 4 cobaltate(III), bis[tri (n-butyl)ammonium] .
- 5 bis(undecahydrido-7-carbaundecaborato) nickelate(IV) and the like. A
- 6 similar list of representative phosphonium compounds can be recited
- 7 as illustrative second compounds, but for the sake of brevity, it is
- 8 simply noted that the phosphonium and substituted-phosphonium salts
- 9 corresponding to the listed ammonium and substituted-ammonium salts
- 10 could be used as second compounds in the present invention.

#### Choice of Metallocene-Activator Pairs

- In general, and while most metallocene components identified
- 12 above may be combined with most activator components identified above
- 13 to produce an active olefin polymerization catalyst, it is important
- 14 for continuity of the polymerization operations that either the metal
- 15 cation initially formed from the metallocene component or a
- 16 decomposition product thereof be a relatively stable catalyst. It is
- 17 also important that the anion of the activator compound be stable to
- 18 hydrolysis when an ammonium salt is used. Further, it is important
- 19 that the acidity of the activator component be sufficient, relative
- 20 to the metallocene component, to facilitate the needed proton
- 21 transfer. Activator compounds containing aryl-ammonium salts such as
- 22 N.N-dimethylanilium are more acidic than trialkylammonium salts and
- 23 therefore are useful with a wider variety of metallocene components.
- 24 The basicity of the metal complex must also be sufficient to
- 25 facilitate the needed proton transfer. In general,
- 26 bis(cyclopentadienyl)metal compounds which can be hydrolyzed by
- 27 aqueous solutions can be considered suitable as metallocene
- 28 components to form the catalysts described herein.
- The chemical reactions which occur may be represented by
- 30 reference to the general formulae set forth herein as follows:

```
1 A. (A-Cp)MX<sub>1</sub>X<sub>2</sub> + [L'-H]<sup>+</sup>[B']<sup>-</sup>+ [(A-Cp)MX<sub>1</sub>]<sup>+</sup>[B']<sup>-</sup> + HX<sub>2</sub>+L' or [(A-Cp)MX<sub>2</sub>]<sup>+</sup>[B']<sup>-</sup>+HX<sub>1</sub>+L'

3 B. (A-Cp)MX'<sub>1</sub>X'<sub>2</sub> + [L'-H]<sup>+</sup>[B']<sup>-</sup>+ [(A-Cp)MX'<sub>1</sub>X'<sub>2</sub>H]<sup>+</sup>[B']<sup>-</sup>+L' or [(A-Cp)MX'<sub>2</sub>X'<sub>1</sub>H]<sup>+</sup>[B']<sup>-</sup>+L'
5 C. (A-Cp)ML + [L'-H]*[B'] → [(A-Cp)M(LH)]*[B'] → L'
6 D. (Cp*)(RCp)MX<sub>1</sub> + [L'-H]*[B']- [(Cp*)(HR-Cp)MX<sub>1</sub>]*[B']- + L' or [(Cp*)(R-Cp)M]*[B']- + HX<sub>1</sub> + L'
7
               In the foregoing reaction equations, the letters A-D
8 correspond to the numbers 1-4, respectively, set forth in combination
^{9} with the general equations for useful metallocene compounds. B'
10 represents a compatible ion corresponding to the general formulae
11 outlined in formulae 5, 6 and 7 above. When the metallocene and
12 activator components used to prepare the improved catalysts of the
13 present invention are combined in a suitable solvent or diluent, all
14 or a part of the cation of the activator (the acidic proton) combines
15 with one of the substituents on the metallocene compound. In the case
16 where the metallocene component has a formula corresponding to that of
17 general formula 1, a neutral compound is liberated, which neutral
18 compound either remains in solution or is liberated as a gas. In this
19 regard, it should be noted that if either X_1 or X_2 in the
20 metallocene component is a hydride, hydrogen gas may be liberated.
21 Similarly, if either X_1 or X_2 is a methyl radical, methane may
22 be liberated as a gas. In the cases where the first component has a
23 formula corresponding to those of general formulae 2, 3 or 4
24 (optional), one of the substituents on the metallocene component is
25 protonated but no substituent is liberated. In general, the stability
 26 and rate of formation of the products in the foregoing reaction
 27 equations will vary depending upon the choice of the solvent, the
 28 acidity of the [L'-H] * selected, the particular L', the anion, the
 29 temperature at which the reaction is completed and the particular
 30 cyclopentadienyl derivative of the metal selected.
```

With respect to the combination of the metallocene component

32 with the activator component to form a catalyst of this invention, it

should be noted that the two compounds combined for preparation of the active catalyst must be selected so as to avoid transfer of a fragment of the anion to the metal cation, thereby forming a catalytically inactive species. This could be done by steric hindrance, resulting from substitutions on the cyclopentadienyl carbon atoms as well as substitutions on the non-coordinating anion.

It follows, then, that the metallocene components comprising perhydrocarbyl-substituted cyclopentadienyl radicals could be effectively used with a broader range of activator compounds than could metallocene components comprising unsubstituted cyclopentadienyl radicals.

12 As the amount and size of the substitutions on the 13 cyclopentadienyl radicals are reduced, however, more effective 14 catalysts are obtained with activator compounds containing 15 non-coordinating anions which are larger in size and more resistant to 16 degradation. In the case where the non-coordinating anion is an 17 anionic coordination complex, such as a tetraphenylboron derivative, 18 substitutions on the phenyl rings can be used to prevent the transfer 19 of a proton or an entire phenyl group from the anion to the metal. 20 This can be accomplished by alkyl substitution in the ortho positions 21 of the phenyl groups, or, more preferably, by perfluoro-substitutions 22 on the anion. Thus, anionic coordination complexes containing 23 perfluorphenyl-, trifluoromethylphenyl-, or bis-trifluormethylphenyl 24 rings are preferred for this subgenus of activator components. When 25 the non-coordinating anion contains a plurality of boron atoms as 26 described in general formulae 6 and 7, more effective catalysts are 27 obtained with activator compounds containing larger anions, such as 28 those encompassed by Equation 7 and those having larger m values in 29 Equation 6. In these cases it is further preferable when using second 30 compounds which are encompassed by Equation 6, that a + b + c = 2. 31 Second compounds in which a + b + c = even-numbered integers of 4 or 32 more have acidic B-H-B moieties which can react further with the metal 33 cation formed, leading to catalytically inactive compounds. As indicated supra, most metallocene compounds will combine

ia.

35 with most activator compounds to give an active polymerization

```
catalyst. The initially formed catalyst is not, however, always sufficiently stable as to permit its separation and subsequent identification. However, catalysts which are thermally stable are preferred for the production of block or tapered copolymers. Three structurally distinct forms of thermally stable ionic catalysts have been identified by NMR spectroscopy and are shown below in equation 8.
```

```
7 8a. [A-CpMX<sub>1</sub>][(C<sub>2</sub>B<sub>9</sub>H<sub>11</sub>)<sub>2</sub>Co]
8 8b. [A-CpMX<sub>1</sub>(L')][B(C<sub>6</sub>F<sub>8</sub>)<sub>2</sub>(X<sub>3</sub>)]
9 8c. [(A-CpMX<sub>1</sub>)<sub>2</sub>X<sub>1</sub>][B']
```

10 In the foregoing reactions the symbols A-Cp, M,  $X_1$ ,  $L^*$  and  $X_2$ 11 correspond to the definitions set forth in equations 1-4 and 5-5a, 12 respectively; B' represents a compatible non-coordinating anion 13 corresponding to the general formulae set forth in equations 5, 6 and 14 7. In example 8a, NMR spectroscopy indicates that the metallacarborane anion is weakly bound to the metal center; the 16 biproduct L' does not form an observable coordination complex with the metallocene center. In 8b, NMR experiments indicate that the 18 fluorinated boron anion is completely non-coordinating and that L'. 19 weakly coordinates to and stabilizes the metallocene cation when the 20 tertiary amine (L') is an aniline derivative. The ability of L' to coordinate to the metal is important to the stability of the catalyst 22 systems which have highly noncoordinating anions. The Lewis basicity 23 of the L' can affect the rate of polymerization and other polymerization parameters. Experience has shown that when the bulk of 25 the amine or the metallocene cation is increased by substitutions on 26 the nitrogen atom or the cyclopentadienyl ligands respectively, the ability for the amine to coordinate to the metal center decreases. 28 Addition of excess metallocene  $ACpM(X_1)_2$  to 8a or 8b results 29 in displacement of the anion in 8a or the aniline ligand in 8b to form 30 a stable dimeric cation 8c.

Preferred Catalysts For the Production of Block and Tapered
Copolymers

Ideal catalyst systems for the production of block copolymers
are single-sited living catalysts. Living catalysts are those systems

are single-sited living catalysts. Living catalysts are those systems in which chain transfer is monexistent and the rate of initiation is 6 fast compared to propagation. Catalysts which have finite chain transfer rates may also be useful for the production of block and 7 8 tapered copolymers if the rate of propagation is fast relative to 9 termination. It is also important that the average chain lifetimes 10 are reasonably long (minutes to hours) in order to permit adequate 11 time for modifications of the reactor conditions (e.g. changing 12 monomer feed streams). While most reasonably stable ionic catalysts 13 described above will under suitable conditions produce block and/or 14 tapered copolymers of nonpolar olefins, it is preferred that the 15 catalyst be: 1) thermally stable (recoverable as a single 16 organometallic complex), 2) versatile in terms of random copolymer 17 synthesis (i.e. capable of preparing HDPE, i-PP, s-PP, EP-rubber, 18 LLDPE etc.), 3) capable of producing high molecular weight polymers at 19 reasonable temperatures and pressures, 4) high activity (fast 20 propagation catalysts) and 5) slow in chain termination reactions so 21 that few chains of polymer product are produced per hour per site. Ionic catalysts of the form [A-CpMHe(L')][B( $C_xF_x$ ), (X,)] are 23 the preferred catalysts for the production of block and tapered 24 copolymers of ethylene, 1-olefins, dienes, cyclic olefins and other 25 unsaturated monomers. Ionic catalysts of this form where M = Hf are 26 the most preferred catalyst systems because they are more stable. 27 produce higher molecular weight copolymers, and have longer chain 28 lifetimes than corresponding Zr or Ti-based systems. Polymerizations 29 using hafnium systems of this form under standard random copolymer

30 conditions as described in our copending U.S. Patent Application No. 31 133,480 produce high molecular weight HDPE, LLDPE, a-PP, i-PP, s-PP.

32 and EP-rubber at rates comparable to similar Zr-based catalysts.

33 Tapered and block copolymers containing these segments can be

34 produced using the appropriate hafnium ionic catalyst using the

35 techniques and process conditions set forth in the following sections.

```
1
            Processes For the Production of Block and Tapered Copolymers
2
            Many procedures for modifying the reactor conditions and
3
   monomer feeds for the production of block copolymers have been
   developed and applied using conventional Ziegler-Natta catalysts.
4
   The patent literature concerning block copolymer from Ziegler-Natta
5
   catalysts disclose a variety of processes for altering reactor
   conditions. The processes include batch reactors and sequential
7
   additions techniques, series batch reactors, loop and tubular
   reactors, and fluidized bed reactors. A review of the processes and
10 patents is given in chapter 4 of "Block Copolymers" [D. C. Allport
11 and W. H. James; John Wiley and Sons, New York 1973]. As indicated
12 supra, the application of these processes using conventional
13 catalysts in general produces broad molecular weight distributions of
14 poorly defined polymer blends. In principle, the catalysts of this
15 invention can be used in any of the processes described above for the
16 production well-defined block copolymers of higher purity than
17 obtained using conventional Ziegler-Natta catalysts.
            The most demanding process, that is the process which
19 requires the longest chain lifetimes, is the sequential addition.
20 When the catalyst or initiator produces growing chains with
21 sufficiently long lifetimes, the sequential addition technique allows
22 for the production of block copolymers having non-tapered transitions
23 between different polymer segments. Sequential addition techniques
24 are commonly used to produce styrenic block copolymers (Kraton for
25 example) using anionic initiators.
26
            In the first step of the sequential addition process
27 employed in accordance with this invention the catalyst is placed in
28 a well stirred batch reactor in a suitable solvent and first segment
29 of the block copolymer is grown by adding a specific number of molar
30 equivalents of monomer(s). The catalyst consumes all of the
31 monomer(s) prior to addition of the second monomer(s) (a different
32 set of monomers than in the first step). This procedure can be
33 repeated to prepare multiblock copolymers.
            Sequential Addition Conditions
34
```

For a given catalyst and target block or tapered copolymer a

- 1 solvent, temperature and catalyst concentration must be chosen so
- <sup>2</sup> that 1) narrow molecular weight distribution, high molecular weight
- 3 copolymer segments can be synthesized; and 2) the molecular weight of
- 4 the copolymer segments can be controlled by varying the
- 5 catalyst-to-monomer molar ratio. Under these conditions the system
- 6 is behaving in a quasiliving fashion (i.e. slow chain transfer) and
- 7 narrow molecular weight block copolymers can be prepared in high
- 8 yield. Methods for choosing the conditions for a particular catalyst
- 9 system are given below.

#### **Solvent**

- Preferably, the solvent should normally disperse or dissolve
- 11 the catalyst to form a well-mixed system. The most preferred
- 12 catalysts for block copolymer synthesis,
- 13 [ACpHfMe(L')][B(C\_F\_)], are prepared in toluene and form non-misible,
- 14 toluene-dispersible phases. This two-component phase contains the
- 15 catalyst and a particular amount of toluene; the concentration of
- 16 toluene in the catalyst phase depends on temperature and the structure
- 17 of the catalyst. Addition of an aliphatic
- 18 hydrocarbon to the toluene-catalyst emulsion causes immediate
- 19 precipitation of the catalyst from solution to give a soft waxy.
- 20 non-dispersible catalyst deposit. While aliphatic hydrocarbons,
- 21 fluorinated hydrocarbons, and chlorinated aromatic hydrocarbons may
- 22 be used in this invention; the preferred solvents for the preparation
- 23 of block copolymers from the most preferred catalysts are aromatic
- 24 hydrocarbons such as toluene, xylene, ethyl benzene and the like.
- 25 The lack of solubility or compatibility of the catalyst phase in the
- 26 aromatic solvent does not present significant problems in terms of
- 27 molecular weight broadening because the phase is highly dispersible
- 28 even at lower temperatures.

#### <u>Temperature</u>

- The reactor temperature strongly affects the yield of block
- 30 copolymer and must be adjusted depending on the type and

- 31 concentration of monomers and catalyst used. The general procedure
- 32 for determining this condition is to 1) find the maximum temperature
- 33 where high molecular weight polymer segments can be prepared and 2)

- confirm the molecular weight is controlled by the catalyst-to-monomer
- 2 ratio at this temperature. The general trend is that higher
- 3 temperatures cause chain transfer to be more rapid relative to
- propagation and therefore give lower block copolymer yields. The
- 5 process may be carried out at temperatures of -80°C to 80°C, however,
- 6 it is preferred that the temperature be in the range of -10°C to
- 7 20°C.

#### Concentration of Catalyst

- 8 The concentration should be high enough to produce
- 9 significant rates of polymerization under the generally low pressure
- 10 conditions of sequential addition. The concentration should not be
- 11 so high as to produce uncontrollable exotherms upon exposure to the
- 12 monomer. The exotherm can be controlled, however, by adjusting the
- 13 rate of monomer addition during the formation of a block segment.
- 14 This level of control allows for a large range of acceptable
- 15 catalysts concentrations. The process may be carried out at catalyst
- 16 concentrations ranging from about  $6 \times 10^{-6}$  to about  $6 \times 10^{-2}$
- 17 moles of catalyst/liter of solution, however it is preferred that
- 18 catalyst levels be in the range of 1 x  $10^{-4}$  to about 3 x  $10^{-2}$
- 19 moles of catalyst/liter of solution.

#### Monomer Concentration

- The amount of monomer added depends on the molecular weight
- 21 of the targeted polymer and the moles of active catalyst in the
- 22 reactor. Molar ratios of monomer to catalyst may be in the range of
- 23 about 10:1 to about 10,000:1. The monomers may be added quickly or
- $^{24}$  may be metered in to the reactor to control exotherms.

#### Order of Monomer Addition

- 25 Precipitation of polymer (with catalyst attached) causes
- 26 undesirable broadening of the molecular weight distribution due to
- 27 mass transport limitations and poor mixing. It is therefore
- 28 preferred to prepare the soluble block (generally the elastomeric
- 29 segment) in the first stage of the reaction. Thus, as indicated in
- 30 the examples, when ethylene is added in the first step in the
- 31 synthesis of a HDPE-b-atactic-PP diblock copolymer the initially
- 32 formed polyethylene precipitates with the catalyst and the final

7

8

16

molecular weight distribution of crude block copolymer product is rather broad (Mw/Mn = 3.0). Addition of propylene in the first step produces a system which remains homogeneous throughout the block copolymer synthesis, yielding a crude product having a much narrower MwMn = (1.7 - 1.8).

#### Preparation of Tapered and Random Copolyers

Addition of stoichiometric amounts of a mixture of two olefins to the reactor under block copolymer conditions will naturally produce tapered copolymer because the monomers will generally have different reactivities with the catalyst. Thus, the head of the polymer will be rich in the more reactive comonomer while the polymer tail will contain larger amounts of the slower reacting 12 comonomer. The extent of tapering will depend on magnitude of the difference of monomer reactivity. The extent of tapering can be controlled by metering the two monomers into the reactor at different 15 rates.

Random copolymers such as non-crystalline ethylene-propylene 17 rubber can be made under block copolymer conditions by adding the 18 fast monomer (ethylene) to the catalyst/propylene mixture at a rate 19 where the desired amount of ethylene has been added when all the 20 propylene has been consumed. This procedure is exemplified for a 21 ethylene-propylene elastomer containing 50 mole % propylene.

#### Block Copolymer Products

22 The novel polymer products of this invention are olefinic 23 block and tapered copolymers having narrow molecular weight 24 distributions and well defined di-, tri-, multiblock or tapered 25 structures. The polymer segments which can be incorporated into 26 these architectures include 1) elastomers such as atactic 27 polypropylene, atactic poly-1-olefins, and ethylene/1-olefin 28 copolymers wherein the ethylene comonomer is a  $C_3 - C_{18}$ 29  $\alpha$ -olefin such as ethylene-propylene, ethylene butene, and 30 ethylene-octene copolymers ethylene-propylene-diene terpolymers and 31 other crosslinkable elastomeric olefins; 2) thermoplastics such as 32 high density polyethylene, linear low density polyethylene having 33 melting points from 80-135°C (e.g. ethylene-propylene and other

. Linda da en la companya da en la compa

ethylene-1-olefin copolymers wherein each 1-olefin has from about 4 2 to 20 carbon atoms), ethylene/diene copolymers such as 3 ethylene/ethyldiene-norbornene copolymers, isotactic polypropylene 4 having melting points from 100°C-165°C, syndiotactic polypropylenes 5 having melting points from 100°C-165°C, hemi-isotactic polypropylenes 6 and other crystalline 1-olefin homo and copolymers; and 3) glasses 7 such as homo-polycyclopentene, homopolynorbornene and the like. The 8 average molecular weight of the polymer segments included in the 9 novel block copolymers of this invention can be in the range of from 10 100-1,000,000 daltons, preferably from 30,000 to 200,000 daltons. 11 The molecular weight distributions (Mw/Mn) of the crude and/or fractionated block copolymer are in the range from about 1.0 to about 13 5.0, preferable from about 1.1 to about 2.0. As indicated above and in the examples following the percentage of block copolymer in the 15 crude product can vary from about 1% to 100%, preferably from about 16 50% to about 90% depending on the application and the conditions of 17 the experiment. 18 The block copolymers of this invention can be represented by 19 the following general formulae:

21 wherein each A is a polymer segment having an average molecular weight from about 100 to about 1,000,000 daltons chosen independently 23 from homopolymers consisting of HDPE, homo and copolymers of cyclic olefins, such as polycyclopentene and polynorbornene, and isotactic. atactic, and syndiotactic poly-1-olefins such as atactic-PP, 25 26 isotactic-PP, syndiotactic-PP; random copolymers of 1-olefins and 27 diolefins such as ethylene-propylene rubber. ethylene-propylene-hexadiene rubber, ethylene-butene rubber, linear 28 29 low density polyethylenes, such that no adjacent segments are the 30 same polymer composition. 31 Novel block copolymers of this invention include but are not 32 limited to diblock copolymers such as (HDPE)(EP), (i-PP(EP), (LLDPE)(a-PP), (HDPE)(a-PP), (LLDPE(HDPE) and the like, and triblock

1 copolymers such as (HDPE)(EP)(HDPE), (HDPE)(a-PP)(HDPE),

2 (HDPE)(EP)LLDPE), (LLDPE)(a-PP)(LLDPE), (HDPE)(a-PP)(LLDPE),

3 (i-PP)(EP)(i-PP), (s-PP)(EP)(s-PP) and the like. It will be recognized

4 to those well versed in the field that the isotactic polypropylene

5 segments contained in the block copolymers of this invention have

6 microstructure defects which are not observed in conventional i-PP

7 materials prepared using titanium Ziegler-Natta catalyst. The

8 microstructure defects which are unique to isotactic polypropylenes

9 prepared using metallocene catalysts arise from 1-3 and 2-1 additions to

10 propylene. The melting points of such materials can vary from 60°C to

11 165°C depending on the total number total defects.

The tapered copolymers can be represented by similar general

13 formula where the transitions between idealized polymer segments  $\mathbf{A}_{\mathbf{n}}$ 

14 are gradual. These gradient transitions between segments are

15 represented by arrows.

22

16 
$$(A_1) ---> (A_2) ---> (A_3) ---> \cdots (A_n)$$
.

17 An example of this would be a polymer prepared by reacting a 50-50 18 mixture of ethylene and propylene with a living catalyst which has a 19 high preference for ethylene over propylene. The tapered polymer 20 obtained in such an experiment would be designated by the following 21 formula:

HDPE--->EP--->a-PP

A Marie Control of the Control of th

- 32 -

#### **EXAMPLES**

Catalyst Preparation. The catalyst used in the following examples was prepared in dry, deoxygenated toluene (5.0 cc) by reacting Cp<sub>2</sub>HfMe<sub>2</sub> with one equivalent of [DMAH][B(pfp)<sub>4</sub>] (where DMAH = PhMe<sub>2</sub>NH<sub>+</sub> and pfp = C<sub>6</sub>F<sub>5</sub>) at room temperatur for 5-10 minutes. The reaction is known to cleanly produce methane gas and a single ionic catalyst [Cp<sub>2</sub>HfMe(PhNMe<sub>2</sub>)][B(pfp)<sub>4</sub>].

#### Example 1

#### First Ethylene then Propylene.

7 The reactor was cooled to 0°C and charged with 400 mls of 8 toluene and 0.30 mmoles of catalyst. Ethylene (4.0 grams; 0.143 moles) was added to the reactor. After all the ethylene was 10 consumed, propylene (6 grams; 0.139 moles) was added; after 30 11 minutes the reactor was dropped and the product collected. The 12 crude product contained 50 mole % propylene, had an  $M_{\rm n}$  = 87,000 with a molecular weight distribution of 3.0. The 14 crude product was washed with hexane at room temperature to remove 15 any -PP which was not in the form of block copolymer. The hexane 16 insoluble material contained cyrstalline HDPE (as determined by 17 IR) and 30 mole % propylene; the  $M_{\rm H}$  was 144,000 with a molecular 18 weight distribution of 1.89. The hexane soluble fraction was -PP, did not contain ethylene (by IR), and had an  $M_{\text{n}}$  of 63,000 with 20 a molecular weight distribution of 1.47. Based on the extraction studies, it was concluded that 50-60% of the -PP chains were 22 incorporated into a block coplymer under these conditions.

- 1  $M_n = 87,000$  with a molecular weight distribution of 3.0. The crude
- 2 product was washed with hexane at room temperature to remove any
- lpha –PP which was not in the form of block copolymer. The hexane
- 4 insoluble material contained crystalline HDPE (as determined by IR)
- 5 and 30 mole % propylene; the  $M_{
  m n}$  was 144,000 with a molecular weight
- 6 distribution of 1.89. The hexand soluble fraction was lpha-PP, did
- 7 not contain ethylene (by IR), and had a  $M_{
  m p}$  of  $^{1}63$ ,000 with a
- 8 molecular weight distribution of 1.47. Based on the extraction
- 9 studies, it was concluded that 50-60% of the lpha-PP chains were
- 10 incorporated into a block copolymer under these conditions.

#### Example 2

#### First Propylene Then Ethylene

The procedure of Example 1 was repeated except propylene was added first. The crude product had 41 mole % propylene (IR), a  $M_{\rm n}$  of 170,000 with a molecular weight distribution of 1.78. A pad was pressed and extracted with hexane for 4 hours. At this point, the material contained 37 mole % propylene and very crystalline HDPE. The pad was further extracted with toluene at room temperature for 50 hours with no loss of weight. The extracted "diblock" had a  $M_{\rm n}$  of 18 230,000 and a molecular weight distribution of 1.48 (the hexane 19, solubles were  $\alpha$ -PP having a Mn of 125,000 and a molecular weight

#### Example 3

### Molecular Height Control In Block Copolymer Synthesis

- Using the procedure in Example 2, 3.0 grams of propylene
- 22 (0.070 moles) were added to 0.30 mmole of the hafnium catalyst.
- 23 After the propylene (0.071 moles) was completely consumed, 2.0 grams
- 24 of ethylene were added to the system. The resulting product was
- 25 washed with hexane at room temperature to remove any  $\alpha\text{-PP}$ . The
- 26 resulting block copolymer had a Mn of 107,000 and a molecular weight
- 27 distribution of 1.68, and contained 42 mole % propylene by IR
- 28 spectroscopy.

20 distribution of 1.72).

2

3

4

5

- 34 -

#### Example 4

#### Effect of Temperature

If the temperature is raised the effect is to lower the efficiency of the block formation. The temperature was raised from 0°C to 10°C and the blocking efficiency was reduced to less than 10% to 65%. When the temperature was lowered to -5°C, the blocking efficiency improved 78%.

#### Example 5

# The Effect of Increasing the Time <u>Between the Addition of Monomers</u>

6 A series of block copolymerizations were run at 0°C and the 7 time between the addition of propylene and ethylene was varied from 6 8 to 42 minutes. The crude products were collected, analyzed by GPC 9 and IR, then extracted with hexane at room temperature for 65 hours 10 to remove unblocked  $\alpha\text{-PP}$ . In a well-behaved system wherein the 11 extraction technique removes all of the  $\alpha$ -PP, a logrithmically 12 increase in the percent of hexane extractables with time would be 13 expected. The data of this example is shown in Fig. 1 in graphical 14 form. The Y-axis represents the percent of polypropylene which is 15 incorporated into the block copolymer. The extractables were isolated and analyzed by GPC and IR; they were all pure  $\alpha\text{-PP.}$  . The 16 correlation is close to the linear result expected so that this 17 18 technique can be used to evaluate the kinetics of chain loss under a 19 variety of conditions. This result confirms the ability to make true 20 block copolymers, and confirms that hexane extraction is a good measure of block copolymer efficiency for this polymer system. 21

2

3

14

15

16

17

- 35 -

# Example 6

### Preparation of EP

In this example, 1.6 grams of propylene (0.037 moles) were added to [Cp\_HfMe(L)][B(pfp)] (0.30 mmole) in 400 cc of toluene at O°C. Immediately after the propylene addition, 1.1 grams of ethylene (0.039 moles) was metered in over 3 minutes. After six minutes, the product was recovered yielding 2.7 grams of amorphous EP rubber. The product was non-crystalline by IR; the polymer had a  $M_n$  of 96,000 and a molecular weight distribution of 1.69.

#### Example 7

#### Preparation of EP

8 In this example, 3.2 grams of propylene (0.074 moles) was 9 added to [Cp, HfMe(L)][B(pfp)] (0.30 mmole) in 400 cc of toluene at O°C. Ethylene (2.2 grams; 0.078 moles) was added to the reactor over 5.5 minutes. The product was recovered yielding 5.7 11 grams of EP rubber having a  $M_{\rm n}$  of 155,000, and a molecular weight distribution of 1.48. The IR showed some degree of PE-crystallinity.

#### Example 8

# Preparation of EP-b-HDPE

Example 6 was repeated to prepare "living" EP-rubber. After all the monomer had been consumed, ethylene (1.1 grams) was added to prepare the desired block copolymer. The product was recovered yielding 4.25 grams of copolymer. The IR showed PE crystallinity and the polymer had thermoplastic-like properties. The onset of Tg was 18 -52.9°C and the product had a melting point of 119°C. The  $\rm M_{\rm p}$  of 19 the crude product was 161,000 with a molecular weight distribution of 20 21 1.59.

2

3

4

5

6

7

8

9

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

39

40

41

Example 9. The intention of this example is to demonstrate that slow chain transfer can be used to catalytically prepare block copolymers. This is an example of in-situ catalyst recycle leading to the production of mixtures of small amounts of homopolymer and mixtures of multiblock copolymers (AB, ABA, BAB etc).

The reactor was cooled to O°C and charged with 400 mls of toluene and 0.15 mmole of catalyst. In step 1, propylene (1.5 grams) was added to the well stirred reactor causing a pressure increase of 7 psi. After 7 minutes the pressure in the reactor dropped to zero and the reactor was vented through a nujol bubbler to remove any residual propylene in the system. In step 2, ethylene (1.0 grams) was added. The ethylene was consumed in less than one minute. After all the ethylene was consumed the reactor was vented. sequential steps were repeated 5 more times resulting in similar monomer conversion rates. The reactor was dropped and the the product was collected yielding 15 grams after drying. The crude product was analyzed by GPC and had a Mn = 122K and a MWD = 2.2. The melting point was 126°C by DSC and the IR indicated the presence of high crystallinity polyethylene. A thin pad weighing 1.25 grams was compression molded and extracted with hexane a room temperature for 72 hours at room temperature. The pad was removed, dried and weighed yielding 1.13 grams of insoluble material. insoluble material was analyzed by GPC and DSC and had a Mn =171K, a MWD = 1.8 and a melting point of 126°C. The soluble material was isolated (0.12 grams) and was identified as atactic PP. The extraction data indicated that 84% of the polypropylene is bonded to insoluble polyethylene segments. The data are not sufficient to detect homo-polyethylene, or to quantify the ratios of the various possible multiblock copolymers. The observation, however, that the Mn of the crude and extracted products in this experiment are only slightly higher than is typical of a standard A-B sequential addition (Mn = 1201-140K) at the same monomer:catalyst ratio suggests that the chain lifetimes are not sufficiently long to produce high amounts of triblock or other higher order block copolymers.

3

4

5 6

7

8

9

10

11

12

13 14

15

16

17

18 19

20

21

22

23

24

25

26

27

28

29

30

31

32 33

34

35

36 37 **इंग्लिक क्षार्थ के अपने के अपने किया** 

The reactor was cooled to O°C and charged with 400 mls of toluene and 0.15 mmole of catalyst. In step 1, propylene (1.5 grams) was added to the well stirred reactor causing a pressure increase of 7 psi. After 7 minutes the pressure in the reactor dropped to zero and the reactor was vented through a nujol bubbler to remove any residual propylene in the system. In step 2, ethylene (1.0 grams) was added. The ethylene was consumed in less than one minute. After all the ethylene was consumed the reactor was vented and in step 3 propylene (1.5 grams) was added. The propylene was consumed in 7 minutes and after an addition 20 minute waiting period steps 1,2 and 3 were repeated. The reactor was dropped and 4 grams of crude dry product was isolated. The crude product was analyzed by GPC and had a Mn = 140K and a MWD = 1.7. The IR spectrum indicated the presence of high crystallinity polyethylene. A thin pad weighing 1.07 grams was compression molded and extracted with hexane a room temperature for 72 hours at room temperature. The pad was removed, dried and weighed yielding 0.87 grams of insoluble material. The insoluble material was analyzed by GPC had a Mn = 192K, a MWD = 1.4. The soluble material was isolated (0.20 grams) and was identified as atactic PP by IR and NMR spectroscopy. The extraction data indicated that 81% of the polypropylene is bonded to insoluble polyethylene segments.

Example 11. In this example we describe an attempt to prepare an ABA triblock copolymer where the A-block is high density polyethylene and the B-block is elastomeric atactic polypropylene. We disclose a technique where a small amount of propylene (5% of the amount added in the B-step) is added in a prepolymerization step. The effect of this is to solubilize the insoluble polyethylene A-segment and prevent precipitation of the living polymer. When this procedure is used a clear, apparently homogeneous reaction mixture is formed. Similar experiments where the prepolymerization step is not used gives a heterogeneous reaction mixture, lower blocking efficiencies and broader molecular weight distributions.

Te se

2

3

4

5

6

7

8

9

10 11

12

13

14

15

16

17

18

19

20 21

22 23

24

25 26

27

28

29 30

31 32

33

34

35

36

37

38

39

40

41

42

43

44

45

The reactor was cooled to O°C and charged with 400 mls of toluene and 0.15 mmole of catalyst. In a prepolymerization step 1, propylene (0.15 grams) was added to the well stirred reactor. After 7 minutes the pressure in the reactor dropped to zero and the reactor was vented through a nujol bubbler to remove any residual propylene in the system. In step 2, ethylene (0.5 grams) was added. After 2 minutes, propylene (3.0 grams) was added to the well stirred reactor causing a pressure increase of 7 psi. The propylene was consumed in 4 minutes at which point the reactor was vented and ethylene (0.5 grams) was added. The reactor was dropped and approximately 4 grams of crude dry product was isolated. crude product was analyzed by GPC and DSC and had a melting point of 122°C, a Mn = 173K and a MWD = 1.9 (with a bimodal A thin pad weighing 1.295 grams was compression molded and extracted with hexane a room temperature for 72 hours at room temperature. The pad was removed, dried and weighed yielding 1.17 grams of insoluble material. resulting material has thermoplastic elastomeric properties. The extraction data indicated that 86% of the polypropylene is bonded to insoluble polyethylene segments.

Example12. The reactor was cooled to O°C and charged with 400 mls of toluene and 0.15 mmole of catalyst. prepolymerization step 1, propylene (0.15 grams) was added to the well stirred reactor. After 7 minutes the pressure in the reactor dropped to zero and the reactor was vented through a nujol bubbler to remove any residual propylene in the system. In step 2, ethylene (0.25 grams) was added. After 2 minutes, propylene (3.0 grams) was added to the well stirred reactor causing a pressure increase of 7 psi. propylene was consumed in 4 minutes at which point the reactor was vented and ethylene (0.25 grams) was added. reactor was dropped and approximately 3.5 grams of crude dry product was isolated. The crude product was analyzed by GPC and DSC and had a melting point of 122°C, a Mn = 160K and a MWD = 1.8 (with a bimodal shape). A thin pad weighing 1.288 grams was compression molded and extracted with hexane a room temperature for 72 hours at room temperature. The extremely swollen pad was removed, dried and weighed yielding 1.165 grams of insoluble material. The resulting material has thermoplastic elastomeric properties. The extraction data indicated that 88% of the polypropylene is bonded to insoluble polyethylene segments. The insoluble product was analyzed by GPC and had a Mn = 184K and a MWD = 1.6 (with a bimodal shape).

#### CLAIMS

- 1. A process for the production of block copolymers comprising:
- (i) contacting a first olefinic monomer(s) with a catalyst which is the reaction product of:
  - (a) a metallocene component, and
- (b) a second component having a cation capable of donating a proton and a compatible non-coordinating anion to produce a first living polymer; and
- (ii) sequentially adding to said living polymer at least a second monomer(s) to copolymerize with said first polymer to produce a multi block copolymer; and
  - (iii) recovering a block copolymer
- 2. The process of claim 1 wherein the block copolymer is a diblock copolymer.
- 3. The process of claim 1 wherein the block copolymer is a triblock.
- 4. The process of claim 1 wherein the catalyst reaction product is of the formulae:

[A-CpMX<sub>1</sub>][(C<sub>2</sub>B<sub>9</sub>H<sub>11</sub>)<sub>2</sub>Co] [A-CpMX<sub>1</sub>(L')][B(C<sub>6</sub>F<sub>8</sub>)<sub>3</sub>(X<sub>3</sub>)] [(A-CpMX<sub>1</sub>)<sub>2</sub>X<sub>1</sub>][B']

wherein: M is titanium, zirconium or hafnium; (A-Cp) is either (Cp)(Cp\*) or Cp-A'-Cp\* and Cp and Cp\* are the same or different substituted or unsubstituted cyclopentadienyl radicals; A' is a covalent bridging group containing a Group IV-A element; L' is a neutral Lewis base; X<sub>1</sub> is a hydride radical, hydrocarbyl radical having from 1 to about 20 carbon atoms, substituted-hydrocarbyl radical, wherein 1 or more of the hydrogen atoms are replaced with a

halogen atom, having from 1 to about 20 carbon atoms, or organo-metalloid radical comprising a Group IV-A element wherein each of the hydrocarbyl substituents contained in the organo portion of said organo-metalloid, independently, contain from 1 to about 20 carbon atoms;  $C_1-C_{20}$  and  $X_3$  is a hydride, halide, hydrocarbyl radical, a  $C_1-C_{20}$  hydrocarbyl radical wherein one or more of the hydrogen atoms is replaced by a halogen atom, organometalloid radical wherein each hydrocarbyl substitution in the organo portion contains from 1 to 20 carbon atoms and the metal is a Group IVA metal.

5. The process of claim 4 wherein the catalyst reaction product is represented by the formula:

$$[A-CpMX_1(L')][B(C_eF_g)_1(X_g)]$$

- 6. The process claim 1, wherein the catalyst is the reaction product bis(cyclopentadienyl) hafnium dimethyl and N,N-dimethylanilinium tetrakis(pentafluorophenyl)boron.
- 7. The process of claim 1 wherein said steps of reacting, copolymerizing, introducing and repeating are carried out at a temperature of from about -5°C to about 10°C.
- 8. The process of claim 1 wherein any of said monomers is selected from ethylene, propylene, 1-butene.
- 9. Olefinic block copolymers having a Mw/Mn of from about 1 to 5 represented by the formula:

$$(A_1)(A_2)(A_3)$$
....(An)

wherein each A represent a polymer segment having an average of Mw of from 100 to about 1,000,000 daltons, each adjacent A represents a different olefinic polymer segment, A being selected from HDPE, atactic-1-olefins, isotactic 1-olefins, syndiotactic 1-olefins, homo-

and copolymers of cyclic olefins, and random copolymers of 1-olefins and diolefins.

- 10. The olefin block copolymers of claim 9 wherein the polymer segments are selected from are atactic-polypropylene. isotactic-polypropylene and syndiotactic-polypropylene.
- 11. Olefin block copolymers of claim 11 wherein said block copolymers is a diblock.
- 12. Olefin block copolymers of claim 11 selected from (HDPE)(EP), (1-PP)(EP), (LLDPE)(a-PP), (LLDPE)(HDPE).
- 13. Olefin block copolymers of claim 10 wherein said block copolymer is a triblock.
- 14. Olefin block copolymers of claim 13 selected from (HDPE)(EP)(HDPE), (HDPE)(EP)(LLDPE), (LLDPE)(a-PP)(LLDPE)(HDPE)(a-pp)(LLDPE), (i-PP)(EP)(i-PP)(s-pp)(EP)(s-PP).
- 15. Mixtures of homopolyolefins, and di- and triblock copolymers, said block copolymers produced by the process of claims 2 and 3.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 91/00835

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 6								
According to International Patent Classification (IPC) or to both National Classification and IPC								
IPC <sup>5</sup> :	C 08 F 297	/08,C 08 F 4/64	and the second second					
		· · · · · · · · · · · · · · · · · · ·						
II. FIELDS SEARCHED								
ļ		Minimum Docume	ntation Searched # 25 1					
Classificati	on System		Classification Symbols					
IPC <sup>5</sup>	C 08	F 4/00,C 08 F 2	93/00,C 08 F 297/00					
<u></u>	<u> </u>	Documentation Searched other t	ihan Minimum Documentation					
	· to	o the Extent that such Documents	are included in the Fields Searched 6					
	<del></del>	TO BE RELEVANT						
Category *	Citation of Docum	ent, 11 with indication, where app	ropriate, of the relevant passages 12	Relevant to Claim No. 13				
A	(HO (01	3 826 075 ECHST AG) 01 Fe .02.90), see cl mples.		1-4,7- 11,13, 15				
A	(HO	3 640 924 ECHST AG) 01 Ju .06.88), see cl mples.		1-4,7- 11,13				
A	(EW	4 522 982 EN) 11 June 198 .06.85), see cl mples.		1,4,7- 10,15				
A	(BL (04 exa	4 408 019  UNT) 04 October  10.83), see cl  mples (cited in  clication).	aim 1;	1,3,4, 7-9				
A	EP, A1,	0 277 004	·	1,4-6				
* Special categories of cited documents: **  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date or priority date and not in conflict with the application but cited to understand the prioriple or theory underlying the invention.  "X" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but leter than the priority date claimed  "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the priority call the priority date and not in conflict with the application but cited to understand the priority of the cannot be considered novel or cannot be considered to involve an inventive step when the document is combined with one or more other such document is combined with one or more other such document, such combination being obvious to a person skilled in the art.  "L" document member of the same patent family								
<del></del>								
Date of the Actual Completion of the International Search  19 April 1991  2 4. 05. 91								
Internation	I Searching Authority		Signature of Authorized Afficer					
EUROPEAN PATENT OFFICE  Signature of Authorized M. 90TELO								

-⊘**£**.

111. DOCU	MENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)							
ategory *	Citation of Document, 15 with Indication, where appropriate, of the relevant passages	Relevant to Claim No.						
	(EXXON CHEMICAL PATENTS INC.) 03 August 1988 (03.08.88), see claims 1-4,6,7.							
A	EP, A1, 0 277 003  (EXXON CHEMICAL PATENTS INC.)  03 August 1988 (03.08.88),  see claims 1-3,13.	1,4						
•								
	•							

#### anhang

zum internationalen Recherchenbericht über die internationale Patentanmeldung Nr.

#### ANNEX

to the International Search Report to the International Patent Application No.

au report de recherche international relatif à la demande de brevet international n°

#### PCT/US91/00835 SAE 44664

In diesem Anhang sind die Mitglieder der Patentfamilien der im obengenamntem internationalem Recherchembericht cited in the above-mentioned interangeführten Patentdokumente angegeben. Diese Angaben dienen nur zur Unterrichtung und erfolgen ohne Sewähr.

This Annex lists the patent family members relating to the patent documents national search report. The Office is in no way liable for these particulars which are given merely for the purpose of information.

La présente annexe indique les membres de la famille de brevets relatifs aux documents de brevets cités dans le rapport de recherche international visée ci-dessus. Les reseignements fournis sont donnés á titre indicatif et n'engagent pas la responsibilité de l'Office.

Im Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication	
DE-A1- 3826075	01-02-90	AU-A1-39053/89 EP-A2- 355446 EP-A3- 355446 JP-A2- 2075609 ZA-A - 8905769	08-02-90 28-02-90 13-03-91 15-03-90 25-04-90	
DE-A1- 3640924	01-06-88	AU-A1-81873/87 AU-B2- 599171 EP-A2- 269986 EP-A3- 269986 JP-A2-63142005 US-A - 4849487 ZA-A - 8708922	02-06-88 12-07-90 08-06-88 17-05-89 14-06-88 18-07-89 27-07-88	
US-A - 4522982	11-06-85	Keine - None - 1		
US-A - 4408019	04-10-83	Keine - None - I	Rien	
EP-A1- 277004	03-08-88	AU-A1-12452/88 BR-A - 8805026 DK-A0- 5488/88 DK-A - 5488/88 FI-A - 884487 FI-A0- 884487 HU-A2- 51305 IL-A0- 85097 JP-T2- 1502036 NO-A - 884210 NO-A0- 884210 PL-A1- 270367 PT-A - 86672 WD-A1- 8805793 YU-A - 162/88 IL-A0- 85097	24-08-88 17-10-89 30-09-88 30-11-88 29-09-88 29-09-88 28-04-90 30-09-88 13-07-89 22-09-88 22-09-88 13-10-88 01-02-88 11-08-88 28-02-90 30-06-88	

EP-A1-	277003	03-08-88	AU-A1-12945/88 BR-A - 8805025	24-08-88 17-10-89
•			DK-AO- 5489/88	30-09-88
•			DK-A - 5489/88	30-11-88
			FI-A - 884486	29-09-88
			FI-AO- 884486	29-09-88
			HU-A2- 52791	28-08-90
			IL-AO- 85098	30-06-88
			JP-T2- 1501950	06-07-89
			NO-A - 884295	28-09-88
			NO-AO- 884295	28-09-88
			PL-A1- 270366	17-04-89
			PL-A1- 276385	24-07-99
			PT-A - 86671 ·	01-02-88
			WO-A1- 8805792	11-08-88
			YU-A - 161/88	28-02-90

à

.

7